## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mel Gunewardena : Group Art Unit: 3624

Serial No.: 09/845,415 : Examiner: Thu Thao Havan

**Filed:** April 30, 2001 :

Attorney Docket No.: 06878.110700

For: A METHOD, SOFTWARE

PROGRAM, AND SYSTEM FOR RANKING RELATIVE RISK OF A PLURALITY OF TRANSACTIONS

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(c)(2). In this regard, it is noted that there has not yet been a mailing of a final action, a notice of allowance or an action that otherwise closes prosecution. Authorization is given to withdraw the \$180 fee set forth in 37 C.F.R. 1.17(p) from deposit account 501561.

Of further note, no representation is intended that a complete search has been made by the applicant or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted, GREENBERG TRAURIG

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Complete if Known Substitute for form 1449/PTO Application Number 09/845,415 Filing Date April 30, 2001 INFORMATION DISCLOSURE First Named Inventor Mel Gunewardena STATEMENT BY APPLICANT Art Unit Thu Thao Havan (Use as many sheets as necessary) Examiner Name 3624 of 1 06878.110700

Attorney Docket Number

Sheet 1

				F DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (ff knawn)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 6,119,103	09/12/2000	Basch et al	
	2	<sup>US-</sup> 5,978,778	11/02/1999	O'Shaughnessy	
	3	<sup>US-</sup> 6,003,018	12/14/1999	Michaud et al	
	4	<sup>US-</sup> 5,812,987	09/27/1998	Luskin et al	
	5	<sup>US-</sup> 6,055,517	04/25/2000	Friend et al	
	6	<sup>US-</sup> 5,729,700	03/17/1998	Melnikoff	
	7	<sup>US-</sup> 5,884,287	03/16/1999	Edesess	
	8	US- 5,828,583	10/27/1998	Bush et al	
	9	<sup>US-</sup> 5,956,251	09/21/1999	Atkinson et al	
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>8</sup>			
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)							
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.